

LECTURE 28

Minimum Spanning Trees

CSC211 · Algorithms and Data Structures

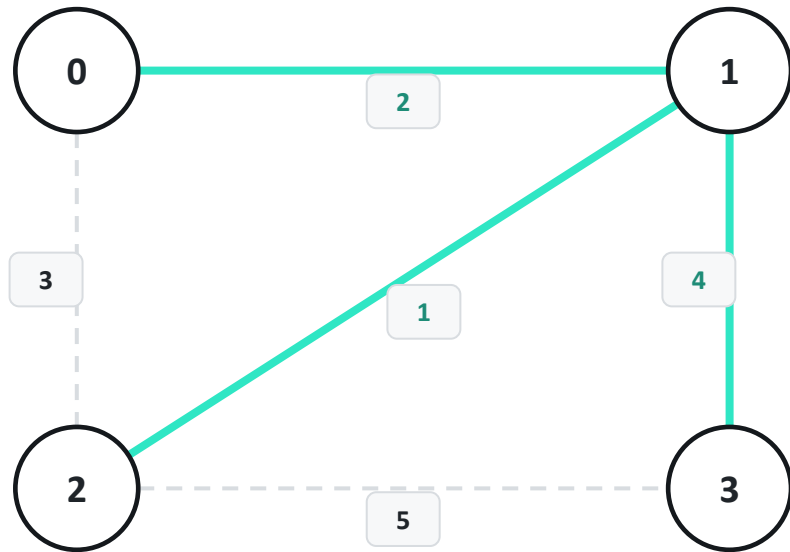
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Agenda

- 1 The spanning tree concept, and what makes one “minimum”
- 2 Prim's algorithm — grow one connected tree, greedily
- 3 Kruskal's algorithm — sort all edges, greedily avoid cycles
- 4 Step-by-step traces of both algorithms, side by side, on the same graph
- 5 A direct comparison, and when each is the better fit

Spanning Tree and Minimum Spanning Tree

A **spanning tree** connects every vertex, no cycles, exactly $V-1$ edges. The **MST** is the spanning tree with the smallest total weight.



MST: edges 0-1 (2), 1-2 (1), 1-3 (4) — total weight 7. No cheaper combination connects all 4 vertices.

V-1 edges

A 4-vertex spanning tree always has exactly 3 edges — the same edge-count fact from Lecture 16's binary trees.

Two classic algorithms find an MST:



Prim's

Grow one tree outward.



Kruskal's

Sort edges, avoid cycles.

Prim's Algorithm

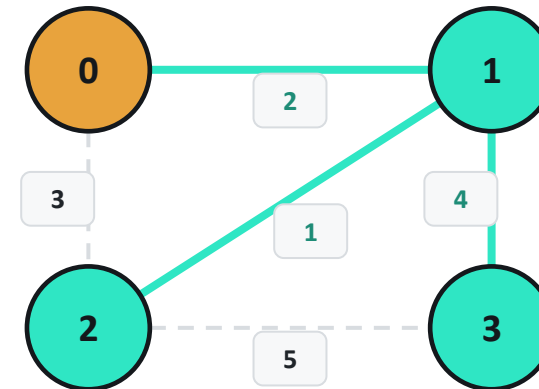
Start from any vertex; repeatedly add the **cheapest edge** that connects the growing tree to a vertex not yet in it — structurally close to Dijkstra's algorithm.

`prims_algorithm.cpp - primMST()`

```
int primMST() const {
    vector<bool> inMST(numVertices, false);
    priority_queue<...> pq;
    pq.push({0, 0}); // (weight, vertex)
    int totalWeight = 0;

    while (!pq.empty()) {
        auto [weight, current] = pq.top(); pq.pop();
        if (inMST[current]) continue;
        already added cheaper
        inMST[current] = true;
        totalWeight += weight;
        for (auto& [nb, w] : adjList[current])
            if (!inMST[nb]) pq.push({w, nb});
    }
    return totalWeight;
}
```

Output, starting from vertex 0

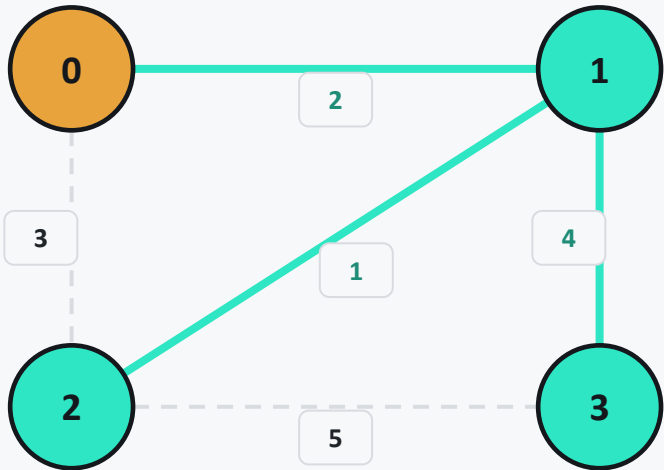


Total MST weight: 7

Dashed gray = considered but never won (a cheaper edge into the same vertex was tried first).

Tracing Prim's Algorithm

Step	Vertex added	Edge weight	Running total
1	0	0	0
2	1	2	2
3	2	1	3
4	3	4	7



Final MST weight: 7

Vertex 0 is the implicit start (step ①). The tree's first edge, 0-1 (weight 2), is step ②.

Kruskal's Algorithm

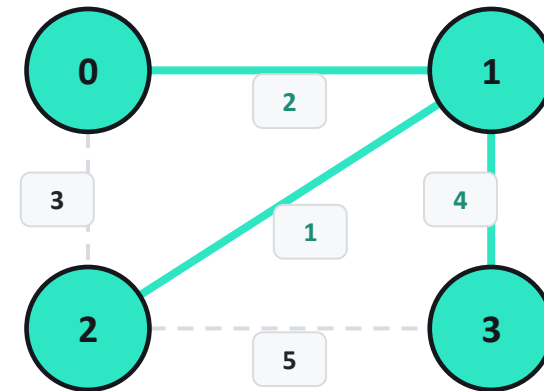
Sort every edge by weight; walk cheapest to most expensive, adding each edge unless it would create a cycle — checked with a **Disjoint Set (Union-Find)**.

`kruskals_algorithm.cpp` — excerpt

```
bool unite(int u, int v) {  
    int rootU = find(u), rootV = find(v);  
    if (rootU == rootV) return false;    // same set: cycle  
    parent[rootU] = rootV;  
    return true;  
}
```

```
int kruskalMST(int V, vector<Edge> edges) {  
    sort(edges.begin(), edges.end(), byWeight);  
    DisjointSet ds(V); int total = 0;  
    for (auto& e : edges)  
        if (ds.unite(e.u, e.v)) total += e.weight;  
    add if no cycle  
    return total;  
}
```

Sorted edges: 1-2 (1), 0-1 (2), 0-2 (3), 1-3 (4), 2-3 (5)



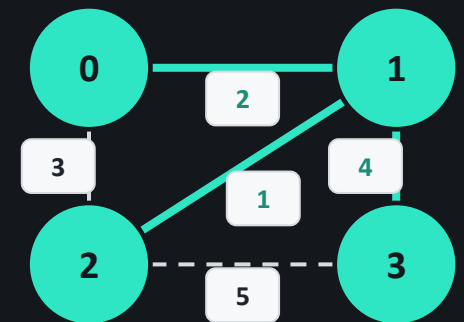
Total MST weight: 7

Tracing Kruskal's Algorithm

Edge	Weight	Decision
1-2	1	add — step 1, total 1
0-1	2	add — step 2, total 3
0-2	3	skip — would cycle
1-3	4	add — step 3, total 7
2-3	5	skip — would cycle

Why 0-2 and 2-3 are skipped

By the time 0-2 is considered, $\text{find}(0) == \text{find}(2)$ already — 0 and 2 are connected indirectly through 0-1-2. The Disjoint Set answers this in near-constant time, no need to “see” the whole tree shape.



DIFFERENT ORDER, SAME TOTAL

Side by Side: Prim's and Kruskal's

Step	Prim's edge	Prim's total	Kruskal's edge	Kruskal's total
1	(start at vertex 0)	0	1-2 (weight 1)	1
2	0-1 (weight 2)	2	0-1 (weight 2)	3
3	1-2 (weight 1)	3	(skip 0-2, would cycle)	3
4	1-3 (weight 4)	7	1-3 (weight 4)	7
5			(skip 2-3, would cycle)	7

Both end at 7, using the exact same three edges (0-1, 1-2, 1-3) — arrived at in a completely different order, for completely different reasons.

Prim's vs. Kruskal's, and Where MSTs Are Used

	Prim's	Kruskal's
Approach	Grows one tree outward	Sorts edges, adds cheapest first
Data structure	Min-heap priority queue	Sorted edges + Disjoint Set
Time complexity	$O(E \log V)$	$O(E \log E)$, dominated by the sort
Best for	Dense graphs	Sparse graphs

N

Network design

Cheapest cable/pipeline layout, fully connected.

A

Approximation algos

A building block for e.g. traveling salesman.

C

Cluster analysis

Cut the priciest MST edges to form clusters.

KEY TAKEAWAYS

- A spanning tree connects every vertex with exactly $V-1$ edges and no cycles; a Minimum Spanning Tree is the cheapest one possible.
- Prim's algorithm grows one tree outward using a min-heap — structurally close to Dijkstra's algorithm, just optimizing edge weight instead of cumulative distance.
- Kruskal's algorithm sorts all edges and greedily adds the cheapest one that doesn't create a cycle, using a Disjoint Set (Union-Find) to detect cycles.
- Prim's and Kruskal's pick different edges in a different order but always land on the same total MST weight for a given graph.
- This closes Unit 6. Unit 7 returns to arrays and lists with a new lens: searching and sorting them efficiently.